

Sexual Misconduct and Sexual Harassment

I. Statement of Policy

Pomeroy College of Nursing at Crouse Hospital ("PCON" or the "College") is committed to creating and maintaining an academic and workplace environment free of sexual misconduct and sexual harassment for all of its students, employees and guests. PCON strives to provide a safe environment for all and to ensure that no one is excluded from participation in or denied the benefits of the College's programs or activities because of the person's gender.

Accordingly, PCON has developed this sexual misconduct and sexual harassment policy and procedure for all College community members (the "policy"). The policy strictly prohibits "sexual misconduct," which includes sexual assault, non-consensual sexual activity, stalking, dating violence, domestic violence, and sexual exploitation; as well as "sexual harassment" (all of which are defined below). Violation of this policy will result in responsive action from the College, as prescribed under applicable law and this policy, which, for students, may include suspension or expulsion from the College.

This policy applies regardless of whether the behavior at issue occurs on- or off-campus.

II. Notice of Non-Discrimination

PCON does not discriminate in the administration of educational polices or programs, admission policies, scholarship and loan programs or other school-administered programs. The College's non-discrimination policy is inclusive of, but not limited to, race, age, color, national or ethnic origin, marital status, gender, sexual orientation, gender identity, gender expression, veteran/military status, religion, disability or political ideology.

III. Definitions

Definitions of Individuals:

Bystander/Witness – a person who observes crime, impending crime, conflict, potentially violent or violent behavior or conduct that is in violation of rules or policies of PCON, including this policy.

Compliance Officers – Persons designated by PCON who are responsible for assisting in the receipt of reports by providing information such as:

- Explanation of the policy and options to proceed
- Where applicable, the importance of preserving evidence and obtaining a sexual assault forensic examination as soon as possible
- Explaining that the criminal justice process utilizes different standards of proof and evidence from the internal PCON process
- Assisting in the referral of questions about whether a specific incident violated the penal (criminal) to state or local law enforcement or to the district or state's attorney

PCON Compliance Officers:

Mrs. Patricia Morgan | Program Chair/Associate Dean 310 Marley Education Center | 315-470-8851 | patriciamorgan@crouse.org

Dr. David Falci | Assistant Dean for Faculty 310 Marley Education Center | 315-470-5767 | davidfalci@crouse.org

Mr. Ryan Barker | Assistant Dean for Students 310 Marley Education Center | 315-470-5710 | ryanbarker@crouse.org

Mr. Joe Dufford | Director, Crouse Health Security Crouse Hospital – Memorial Basement | 315-470-7326 | joedufford@crouse.org

*Note that any report made to a Compliance Officer will be reported to the Title IX Coordinator as Compliance Officers are not confidential resources.

Employee – a person employed by Crouse Health at the time of the report of a potential violation of this policy.

Investigator – a person designated by PCON or Crouse Health who has received appropriate training to serve as an investigator of sexual misconduct and sexual harassment complaints. Investigators receive training in conducting investigations of sexual violence, the results of trauma, impartiality, the rights of the respondent, including the right that the respondent (defined below) is not considered to be "responsible" until a finding of responsibility is made pursuant to this policy, as well as other issues, including, but not limited to training on what conduct constitutes sexual harassment, domestic violence, dating violence, stalking, and sexual assault for purposes of this policy.

Reporting Individual – a student or employee who has reported a violation of this policy. In some cases, such as where the victim of the alleged conduct does not wish to participate in the process but PCON has decided that it should investigative the conduct nonetheless, the College may serve as the reporting individual. In these cases, the College may extend the full rights of the reporting individual as defined in this policy to affected parties as deemed appropriate by the Title IX Coordinator.

Respondent – a person accused of a violation of this policy.

Student – a person who has been matriculated as a PCON student on or before the date of a report of a potential violation of this policy.

Title IX Coordinator – the employee designated by Crouse Health/PCON as responsible for coordinating the College's efforts to comply with its obligations under Title IX of the Education Amendments Act of 1972, as well as Article 129-B of the New York Education Law, including coordinating investigations of complaints received pursuant to this Policy.

PCON Title IX Coordinator:

Mr. John Bergemann | Director of Human Resources Crouse Hospital – 8 Memorial | 315-470-7726 | johnbergemann@crouse.org

Definition of Consent:

As used in this Policy, the term "consent" means "affirmative consent." "Affirmative consent" is a knowing, voluntary, and mutual decision among all participants to engage in sexual activity. Consent can be given by words or actions, as long as those words or actions create clear permission regarding willingness to engage in

the sexual activity. Silence or lack of resistance, in and of itself, does not demonstrate consent. The definition of consent does not vary based upon a participant's sex, sexual orientation, gender identity, or gender expression.

The following principles are provided as guidance for the PCON community:

- Consent to any sexual act or prior consensual sexual activity between or with any party does not necessarily constitute consent to any other sexual act
- Consent is required regardless of whether the person initiating the act is under the influence of drugs and/or alcohol
- Consent may be initially given but withdrawn at any time
- Consent cannot be given when a person is incapacitated, which occurs when an individual lacks the
 ability to knowingly choose to participate in sexual activity. Incapacitation may be caused by the lack of
 consciousness or being asleep, being involuntarily restrained, or if an individual otherwise cannot
 consent. Depending on the degree of intoxication, someone who is under the influence of alcohol, drugs,
 or other intoxicants may be incapacitated and therefore unable to consent
- Consent cannot be given when it is the result of any coercion, intimidation, force or threat of harm
- When consent is withdrawn or can no longer be given, sexual activity must immediately stop
- Being intoxicated or impaired by drugs or alcohol is never an excuse for violating this policy and does not diminish one's responsibility to obtain affirmative consent

Definitions of Prohibited Conduct:

Sexual Misconduct – sexual misconduct is a broad term encompassing any sexual behavior or gender-based misconduct that is committed without affirmative consent. Sexual misconduct may vary in its severity and consists of a range of behaviors.

The following descriptions represent behaviors that violate this policy:

Sexual Assault – as set forth below, sexual assault includes, but is not limited to, offenses that meet the definition of rape, fondling, incest, and statutory rape, defined as follows:

Rape – the penetration, no matter how slight, of the vagina or anus with any body part or object, or oral penetration by a sex organ of another person, without the affirmative consent of the victim, or where the victim is incapable of consent due to mental or physical incapacity.

Fondling – the touching of the private body parts of another person for the purpose of sexual gratification, forcibly and/or without that person's affirmative consent; or, where the victim is incapable of giving consent because of their age or because of their temporary or permanent mental or physical incapacity. This may include non-penetrative acts, touching directly or with an object, and/or touching the private body parts of another over clothing. This may also include forcing or causing another without affirmative consent to touch one's own private body part.

Incest – sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by law.

Statutory Rape – sexual intercourse with a person who is under the statutory age of consent in the jurisdiction where the act takes place. In New York State, the statutory age of consent is 17 years old.

Non-consensual Sexual Activity - as defined in the Clery Act: any intentional sexual touching without affirmative consent. This includes any contact with the breasts, buttocks, groin, genitals, mouth or other bodily orifice of a person upon another person; the touching of another with any of these body parts, without affirmative consent; sexual intercourse or penetration (anal, oral, or vaginal) however slight, with any object or body part, by a person upon another person without affirmative consent; or other intentional contact of a sexual nature without consent or as a result of force, whether by physical force or by threats, intimidation or coercion.

Sexual Activity (as defined in the Clery Act):

- Contact between the penis and the vulva or the penis and the anus, and for purposes of this definition contact involving the penis occurs upon penetration, however slight
- Contact between the mouth and the penis, the mouth and the vulva, or the mouth and the anus
- Penetration, however slight, of the anal or genital opening of another by a hand or finger or by any object, with an intent to abuse, humiliate, harass, degrade, or arouse or gratify the sexual desire of any person
- Intentional touching, either directly or through the clothing, of the genitalia of another person who has not attained the age of 16 years with an intent to abuse, humiliate, harass, degrade, or arouse or gratify the sexual desire of any person

Stalking – engaging in a course of conduct directed at a specific person that would cause a reasonable person to fear for his or her own safety or the safety of others or suffer substantial emotional distress. Stalking normally includes acts in which the stalker directly, indirectly, or through third parties, by any action, method, device, or means, follows, monitors, observes, surveils, threatens, or communicates to or about a person, or interferes with a person's property. Stalking may include persistent calling, texting, or posting on a social networking site as well as physical stalking. When the content of the messages or the nature of the physical stalking is of a sexual nature or arises out of an actual or perceived social relationship of a romantic or sexual nature, the behavior is a form of sexual misconduct.

Dating Violence – as defined in the Clery Act: violence or threats of violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the victim. The existence of such a relationship is determined based on the reporting party's statement and with consideration of the length of the relationship, the type of relationship, and the frequency of interaction between the persons involved in the relationship. For the purposes of this definition, dating violence includes, but is not limited to, sexual or physical abuse or the threat of such abuse. Dating violence does not include acts covered under the definition of domestic violence.

Domestic Violence – as defined in the Clery Act: felony or misdemeanor crimes of violence (whether federal or state) committed by, between, or among current or former spouses or an intimate partner of the victim, by a person with whom the victim shares a child in common, by a person who is cohabitating with or has cohabitated with the victim as a spouse or intimate partner, by a person similarly situated to a spouse of the victim under the domestic or family laws of the jurisdiction in which the crime of violence occurred, or by any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred.

Sexual Exploitation – taking non-consensual, unfair, or abusive sexual advantage of another for one's own advantage or benefit. Examples include, but are not limited to: non-consensual video or audio taping of sexual activity and/or voyeurism.

IV. Prohibition on Sexual Harassment and Retaliation

Sexual harassment is a form of sex discrimination and means unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature when:

- 1. Submission to that conduct is made either explicitly or implicitly a term or condition of employment or education
- 2. Submission to or rejection of such conduct by an individual is used as a component of the basis for employment or education-related decisions affecting that individual
- 3. The conduct has the effect of substantially interfering with an individual's work performance, academic or education-related experience, or of creating an intimidating, hostile, or offensive working or educational environment

Examples of sexual harassment include, but are not limited to, the following:

- Either explicitly or implicitly conditioning any term of employment (e.g., continued employment, wages, evaluation, advancement, assigned duties or shifts) or educational benefit on the provision of sexual favors
- Physical conduct, such as touching, pinching, patting, or grabbing a part of a student or employee's body, or impeding or blocking movements
- Sexual advances or continuing to ask a student or employee to socialize when that person has indicated they are not interested
- Displaying or transmitting sexually suggestive pictures, objects, cartoons, or posters
- Written conduct, such as authoring threatening, sexually suggestive, or obscene letters or correspondence (including e-mails, text messages ("sexting") and social media posts), or sharing or sending suggestive or explicit photos
- Referring to or calling a person a sexualized name
- Telling sexual jokes or using sexually vulgar or explicit language, making or using derogatory comments, epithets, slurs, offensive or lewd remarks, inappropriate personal questions, or comments about someone's body or the way they dress
- Retaliation of any kind because the person at whom the behavior is directed has filed or supported a complaint of sexual harassment, or participated as a witness in an investigation or proceeding (e.g., ostracizing the person, pressuring the person to drop or not support the complaint, adversely altering that person's duties or work or educational environment, etc.)
- Derogatory or provoking remarks about or relating to a student's or employee's gender

• Harassing acts or behavior directed against a person on the basis of their gender

Retaliation – it is also unlawful and a violation of this policy to retaliate against a student or employee for filing a good faith complaint of sexual harassment or for participating/cooperating in an investigation of sexual harassment.

Complaints – complaints of sexual harassment by students or employees shall be made pursuant to the provisions of this policy if sexual harassment is found to have occurred, PCON will take appropriate action, ranging from a verbal warning up to and including dismissal from the College.

V. Confidentiality and Privacy

Different persons/agencies to which an incident may be reported have different reporting/disclosure responsibilities and different abilities to maintain confidentiality and/or privacy, depending on their roles and applicable law.

Confidentiality – only certain professionals (i.e. mental health counselors, pastoral counselors, social workers, psychologists, physicians) are considered confidential resources and can offer confidentiality (which means that this person is prevented by professional ethics and/or law to tell anyone what you have told them, except with your permission, or in certain extreme circumstances involving a serious and imminent threat to others).

Confidential PCON/Crouse Health Resources Include:

HelpPeople (counseling and support)

315-470-7447 – 24/7 emergency on-call services 601 East Genesee Street, Peck Hall | Syracuse, NY 13202 890 7th North Street, Suite 203 | Liverpool, NY 13088 98 North 2nd Street, Suite 101 | Fulton, NY 13069 Offices open 8:00am – 4:30pm, Monday through Friday *Free to students

Crouse Prompt Care (treatment)

315-470-2951 739 Irving Avenue | Syracuse, NY 13210 Open 7:00am – 11:00pm daily

*Fees vary depending upon insurance. Students will not be denied treatment for inability to pay.

Crouse Hospital Emergency Department (treatment)

315-470-7340

736 Irving Avenue | Syracuse, NY 13210

Open 24/7

*Fees vary depending upon insurance. Students will not be denied treatment for inability to pay.

All PCON employees (including but not faculty and resident advisors) to whom a potential violation of this policy is reported are required by law to take further action by sharing your report with a Compliance Officer and subsequently the Title IX Coordinator.

Note: before confidential information is shared, students should make sure that they understand clearly whether the person with whom they are sharing can keep the information completely confidential (that is, not tell anyone), or if the person must report the incident to someone else.

Confidential Community Resource:

Vera House, Inc. (support and advocacy) 315-468-3260 – 24/7 emergency on-call services 723 James Street | Syracuse, NY 13203 *Services are free of charge

Privacy – even PCON/Crouse Health offices and employees who cannot guarantee confidentiality will maintain your privacy to the greatest extent possible. The information you provide to a non-confidential resource will be relayed only as necessary for the Title IX Coordinator to investigate and/or seek a resolution. Your identity will remain private at all times if you wish to maintain privacy; however, this may affect the College's ability to respond to/investigate your report.

VI. Reporting Sexual Misconduct

What to do?

- 1. **Get to a safe place** Crouse Health Security, local law enforcement and/or the New York State Police can help you do this. Officers of those agencies are trained to respond to the needs of a victim of sexual assault and other sex offenses.
- 2. **Obtain medical attention** for safety and well-being, immediate medical attention is encouraged. Being examined as soon as possible is important in the case of sexual assault. Hospitals will arrange for a specific medical examination at no charge. We encourage you to do so at a facility that uses SANE, or Sexual Assault Nurse Examiners. Crouse Hospital is a SANE facility. Remember that this medical treatment may also provide the opportunity for the collection and documentation of evidence, should you decide to pursue the incident and offender through the legal system. Crouse Health Security, local law enforcement and/or the New York State Police may assist you in this effort as well.
- 3. **Preserve evidence** take steps to preserve any and all evidence when an incident occurs, as this evidence may be necessary to prove that the offense took place and/or to obtain a protective order. Be aware that the location of the offense, your clothing, and your person may be considered a "crime scene," and as such, a source of evidence. The location of the incident should be safeguarded and you should avoid washing, douching, using the toilet, or changing clothes prior to a medical/legal exam.
- 4. **Report the incident** the College encourages, but does not require, you to report a violation of this policy. The procedure for reporting a violation of this policy is discussed in more detail below.

*Students have the right to make a report to Crouse Health Security, local law enforcement and/or the New York State Police or to choose not to report. Students also have the right to report the incident to PCON officials, to be protected by the College from retaliation for reporting and to receive appropriate assistance and resources from the College.

How to make a report:

1. Reporting to local or New York State law enforcement – students may (but are not required to) report a complaint to local law enforcement and/or the New York State Police for the purpose of documentation. Contact information for campus, local and state law enforcement agencies is found below. Students may also (but are not required to) report the incident to local law enforcement and/or the New York State Police so that they may investigate the matter and identify an offender. Students have the further option (but are not required to) to pursue the case through the criminal justice system,

where they will be assisted by the District or State's Attorney's office, the local or state police department, and the support and advocacy services of their choice. Those who need assistance in notifying the local or state law enforcement should contact Crouse Health Security, who will assist in doing so.

- Crouse Health Security
 Crouse Hospital Memorial Basement | 315-470-7826
- o Syracuse Police | Abused Persons Unit | 315-435-3016
- Onondaga County Sheriffs | Abused Persons Unit | 315-435-3014
- o New York State Police | Campus Sexual Assault Victims Unit | 1-844-845-7269
- o Emergency | 911
- 2. Students may pursue simultaneously a report with the College under this policy and with state or local law enforcement; in that circumstance: (i) the College may need to briefly suspend its investigation at the request of law enforcement while the law enforcement agency is in the process of gathering evidence; (ii) the College will promptly resume its investigation as soon as notified by the law enforcement agency that it has completed the evidence gathering process; and (iii) the College will not delay its investigation until the ultimate outcome of the criminal complaint.
- **3. Reporting to Crouse Health Security** students may (but are not required to) report a violation of this policy to Crouse Health Security officials. Crouse security officers are required to report the incident to the Title IX Coordinator but will otherwise maintain privacy. This may trigger the College investigative and disciplinary process, which may provide interim protective measures (see below). It also assists PCON in complying with federal requirements under the Clery Act for reporting offenses occurring on campus, even if you do not wish to consent to an investigation of your report.
- 4. Reporting to Title IX Coordinator or Compliance Officer students may also (but are not required to) report the incident to the Title IX Coordinator or a Compliance Officer, all of whom are identified previously in the policy. Students have the right to emergency access to the Title IX Coordinator or a Compliance Officer, who can provide information regarding options to proceed, and, where applicable, the importance of preserving evidence and obtaining a sexual assault forensic examination as soon as possible; and can explain that the criminal justice process utilizes different standards of proof and evidence from the internal PCON process and that any questions about whether a specific incident violated the penal (criminal) law should be addressed to state or local law enforcement or to the district or state's attorney. The Coordinator or Compliance Officer will also explain whether they are authorized to offer confidentiality or privacy and will explain other reporting options.

Assistance to Reporting Individuals – PCON will assist with academic, housing, transportation, employment, and other reasonable and available accommodations regardless of reporting choices.

Reminder of Confidential Alternatives – in addition to, or in lieu of, reporting an incident to one or more of the above, students are reminded that they may make a confidential report to certain professionals as described above (HelpPeople, Crouse Prompt Care, Crouse Emergency Department and/or Vera House, Inc.). These people/agencies can assist in obtaining services, even if the student has decided not to report the incident to law enforcement or the College.

Determination That Report Requires an Institutional Investigation:

Once a reporting individual has reported a possible violation of this policy, the Title IX Coordinator will make a determination as to whether an investigation is necessary. If the Title IX Coordinator determines that an investigation is required, the Title IX Coordinator will notify the reporting individual and take immediate action as necessary to protect the individual, including, but not limited to, the implementation of interim measures as discussed below.

Consent to Investigate – if the Title IX Coordinator determines that an investigation is required, PCON will seek consent from the reporting individual prior to conducting an investigation. If consent is not given to the College's request to initiate an investigation, the Title IX Coordinator will weigh the request against PCON's obligation to provide a safe, nondiscriminatory environment for all members of its community. The College will honor a request to decline to consent to an investigation, unless it determines in good faith that failure to investigate does not adequately mitigate a potential risk of harm to the reporting individual or other members of the campus community, based on the Title IX Coordinator's consideration of factors that include, but are not limited to, the following:

- Whether the respondent has a history of violent behavior or is a repeat offender
- Whether the incident represents escalation in unlawful conduct on behalf of the respondent from previously noted behavior
- The increased risk that the respondent will commit additional acts of violence
- Whether the respondent used a weapon or force
- Whether the reporting individual is a minor
- Whether the College possesses other means to obtain evidence such as security footage
- Whether available information reveals a pattern of perpetration at a given location or by a particular group

Honoring a request to decline consent to an investigation may limit PCON's ability to meaningfully investigate and pursue conduct or other action against a respondent. In the event that the College determines that it cannot honor the reporting individual's request not to investigate, the College will step into the role of the reporting individual and conduct an investigation. The College will not require a reporting individual to participate in an investigation or disciplinary proceeding.

All College proceedings are conducted in compliance with the requirements of the Family Educational Rights and Privacy Act (FERPA), the Clery Act, Title IX, Violence Against Women Act (VAWA), Article 129-B of the New York Education Law, and other applicable state and local laws and College policies.

On and Off-Campus Support Services Following a Report:

PCON recommends that reporting individuals seek the assistance of trained professionals after an incident of sexual misconduct and will assist reporting individuals to receive this assistance, including information on sexually transmitted infections and sexual assault forensic examinations.

Other Protections and Reasonable Accommodations:

Interim Measures Following a Report

1. **No Contact Order** – when the respondent is a student, PCON will issue a "no contact order" consistent with College policies and procedures whereby: (1) continued intentional contact with the reporting individual would be a violation of this policy subject to additional conduct charges; and (2) if the respondent and the reporting individual observe each other in a public place, it is the responsibility of the respondent to leave the area immediately and without directly contacting the reporting individual. The no contact order may include an appropriate schedule for the respondent's access applicable buildings and property of PCON/Crouse Health at a time when such buildings and property are not being accessed by the reporting individual; additional arrangements enabling both parties to access necessary academic and other resources; or such other arrangements as are necessary to protect the rights of both the reporting individual and the respondent.

Upon request, the respondent and the reporting individual are entitled to a prompt review, reasonable under the circumstances, of the need for and terms of the no contact order, including potential modification, in which they are allowed to submit evidence in support of the request.

2. Court Order of Protection or Equivalent Order – victims of sexual misconduct may have a right to obtain a court order to protect themselves from the perpetrators. Upon request, students will be assisted by Crouse Health Security, if applicable, or by local or state law enforcement, in obtaining an order of protection or equivalent protective or restraining order. PCON will provide the respondent and the reporting individual a copy of any order of protection or equivalent that the College receives. PCON will also provide the respondent and the reporting individual an opportunity to meet or speak with a representative of the College, or other appropriate individual, who can explain the order and answer questions about it, including information from the order about the respondent's responsibility to stay away from the protected person or persons. PCON will provide the respondent and the reporting individual an explanation of the consequences of violating these orders, including but not limited to arrest, additional conduct charges if the respondent is a student, and interim suspension. PCON will also call on and assist local law enforcement in effecting an arrest when an individual violates an order of protection, subject to law enforcement jurisdiction and procedures.

3. Mandatory Interim Suspension

- a. When the respondent is a student who is determined by a College official in their discretion to present a continuing threat to the health and safety of the PCON community, the respondent will be subject to an interim suspension pending the outcome of the disciplinary process under this policy. Upon request, and consistent with College policies and procedures, PCON will provide the respondent and the reporting individual a prompt review, reasonable under the circumstances, of the need for and terms of an interim suspension, including potential modification, in which the requesting student requesting review is allowed to submit evidence in support of the request.
- b. When the respondent is an employee who has been determined by a College/Crouse Health official in their discretion to present a continuing threat to the health and safety of the PCON community, the respondent will be subject to such interim measures, if any, as are determined by the College/Crouse Health in its sole discretion.
- 4. **Additional Interim Measures** PCON reserves the right to implement and/or offer such reasonable and available interim measures and accommodations that effect a change in academic, housing, employment, transportation, or other applicable arrangements, as it deems appropriate under the circumstances in order to help ensure safety, prevent retaliation, and avoid an ongoing hostile

environment. The respondent and the reporting individual shall, upon request and consistent with the College's policies and procedures, be afforded a prompt review, reasonable under the circumstances, of the need for and terms of any such interim measure or accommodation that directly affects the student requesting review, in which the student requesting review is allowed to submit evidence in support of the request.

Rights of All Reporting Individuals:

- 1. The right to make a report to Crouse Health Security, local or state law enforcement, or to choose not to report; to report the incident to PCON; to be protected by the College from retaliation for reporting an incident; and to receive reasonable assistance and resources from PCON.
- 2. The right to make a report of sexual assault, domestic violence, dating violence, and/or stalking and the right to consult the Title IX Coordinator or a Compliance Officer for information and assistance. Such a report shall be investigated in accordance with this policy and your identity shall remain private at all times if you wish to maintain privacy.
- 3. The right to emergency access to the Title IX Coordinator or a Compliance Officer, who will be available upon the first instance of disclosure to provide information regarding options to proceed and, where applicable, the importance of preserving evidence and obtaining a sexual assault forensic examination as soon as possible; and explaining that the criminal justice process utilizes different standards of proof and evidence from the internal PCON process under this policy and that any questions about whether a specific incident violated the penal (criminal) law should be addressed to law enforcement or to the district attorney. The Title IX Coordinator or Compliance Officer will also explain whether they are authorized to offer confidentiality or privacy and will inform you about other reporting options.
- 4. The right to disclose confidentially the incident and obtain services from the local or state government.
- 5. The right to disclose confidentially the incident to the Title IX Coordinator or a Compliance Officer, who may offer confidentiality pursuant to applicable laws and can assist in obtaining services.
- 6. The right to receive assistance from appropriate PCON representatives and/or employees in initiating legal proceedings in family court or civil court.
- 7. If the respondent is a Crouse Health employee, you have the right to report the incident to the Hospital's Human Resources Department, or to request that a person who is able to maintain confidentiality and/or privacy assist in reporting to the Human Resources Department.
- 8. The right to withdraw your report and/or to withdraw from involvement in the College process under this policy at any time.
- 9. If you report the incident to the Title IX Coordinator, a Compliance Officer or a Crouse Health Security Officer, you will be provided with a copy of this policy.

Additional Rights of Reporting Individuals and/or Respondents Who Are Students:

Students' Bill of Rights – all PCON students have the right to:

1. Make a report to local law enforcement and/or state police

- 2. Have disclosures of domestic violence, dating violence, stalking, and sexual assault treated seriously
- 3. Make a decision about whether or not to disclose a crime or violation and participate in the judicial or conduct process and/or criminal justice process free from pressure by the institution
- 4. Participate in a process that is fair, impartial, and provides adequate notice and a meaningful opportunity to be heard
- 5. Be treated with dignity and to receive from the institution courteous, fair, and respectful health care and counseling services, where available
- 6. Be free from any suggestion that the reporting individual is at fault when these crimes and violations are committed, or should have acted in a different manner to avoid such crimes or violations
- 7. Describe the incident to as few institution representatives as practicable and not be required to unnecessarily repeat a description of the incident
- 8. Be protected from retaliation by the institution, any student, the respondent, and/or their friends, family and acquaintances within the jurisdiction of the institution
- 9. Access to at least one level of appeal of a determination
- 10. Be accompanied by an advisor of choice who may assist and advise a reporting individual or respondent throughout the judicial or conduct process including during all meetings and hearings related to such process
- 11. Exercise civil rights and practice of religion without interference by the investigative, criminal justice, or judicial or conduct process of the institution

All students engaged in the process outlined in this policy also have the following rights:

- 1. If the respondent is a student, the reporting individual has a right to request that student disciplinary charges be filed against the respondent in proceedings under this policy and/or the College's disciplinary procedures.
- 2. The right to a process in all student disciplinary proceedings where a student is accused of sexual misconduct, as defined in this policy, that may otherwise violate the student disciplinary code, that includes, at a minimum: (i) notice to a respondent describing the date, time, location and factual allegations concerning the violation, a reference to the specific code of conduct provisions or provisions of this policy alleged to have been violated, and possible sanctions; (ii) an opportunity to offer evidence during an investigation, and to present evidence and testimony at a hearing, where appropriate, and have access to a full and fair record of any such hearing, which shall be preserved and maintained for at least five years from such a hearing and may include a transcript, recording or other appropriate record; and (iii) access to at least one level of appeal of a determination before a panel, which may include one or more students, that is fair and impartial and does not include individuals with a conflict of interest. In order to effectuate an appeal, a respondent and reporting individual in such cases shall receive written notice of the findings of fact, the decision and the sanction, if any, as well as the rationale for the decision and sanction. In such cases, any rights provided to a reporting individual must be similarly provided to a respondent and any rights provided to a respondent must be similarly provided to a reporting individual.

- 3. Throughout proceedings involving such an accusation of sexual assault, domestic violence, dating violence, stalking, or sexual activity that may otherwise violate the institution's code of conduct, the right: (i) for the respondent and the reporting individual to be accompanied by an advisor of choice, who may assist and advise the reporting individual or respondent throughout the disciplinary process including during all meetings and hearings related to such process. Rules for participation of such advisor are described below.
- 4. To a prompt response to any complaint and to have the complaint investigated and adjudicated in an impartial, timely, and thorough manner by individuals who receive annual training in conducting investigations of sexual violence, the effects of trauma, impartiality, the rights of the respondent, including the right to a presumption that the respondent is "not responsible" until a finding of responsibility is made pursuant to the provisions of this article and the College's policies and procedures, and other issues including, but not limited to domestic violence, dating violence, stalking or sexual assault.
- 5. To an investigation and process that is fair, impartial and provides a meaningful opportunity to be heard; that is not conducted by individuals with a conflict of interest.
- 6. To have the College disciplinary process run concurrently with a criminal justice investigation and proceeding, except for temporary delays as requested by external municipal entities while law enforcement gathers evidence. Temporary delays should not last more than ten days except when law enforcement specifically requests and justifies a longer delay.
- 7. To review and present available evidence in the case file, or otherwise in the possession or control of the College, and relevant to the disciplinary case, consistent with institution policies and procedures.
- 8. To exclude their own prior sexual history with persons other than the other party in the disciplinary conduct process and their own mental health diagnosis and/or treatment from admittance in the institution disciplinary stage that determines responsibility. Past findings of domestic violence, dating violence, stalking, or sexual assault may be admissible in the disciplinary stage that determines sanction.
- 9. To receive written or electronic notice, provided in advance pursuant to College policy and reasonable under the circumstances, of any meeting they are required to or are eligible to attend, of the specific rule, rules or laws alleged to have been violated and in what manner, and the sanction or sanctions that may be imposed on the respondent based upon the outcome of the disciplinary process, at which time the designated hearing or investigatory officer or panel shall provide a written statement detailing the factual findings supporting the determination and the rationale for the sanction imposed.
- 10. To make an impact statement during the point of the proceeding where the decision maker is deliberating on appropriate sanctions.
- 11. To simultaneous (among the parties) written or electronic notification of the outcome of the disciplinary process, including the sanction or sanctions.
- 12. To be informed of the sanction or sanctions that may be imposed on the respondent based upon the outcome of the disciplinary process and the rationale for the actual sanction imposed.
- 13. To choose whether to disclose or discuss the outcome of the disciplinary process.

14. To have all information obtained during the course of the disciplinary process be protected from public release by PCON until a final determination is made of any appeal, unless otherwise required by law.

Amnesty from College Disciplinary Process for Violations of PCON Student Policies on Alcohol and/or Other Drug Use:

PCON strongly encourages the reporting of incidents of sexual misconduct and sexual harassment to institution officials. The health and safety of every student at the College is of utmost importance. PCON recognizes that students who have been drinking and/or using drugs (whether such use is voluntary or involuntary) at the time that violence, including but not limited to domestic violence, dating violence, stalking, or sexual assault occurs, may be hesitant to report such incidents due to fear of potential consequences for their own conduct.

A Bystander acting in good faith or a reporting individual acting in good faith that discloses any incident of domestic violence, dating violence, stalking, or sexual assault to College officials or law enforcement will not be subject to the College's student disciplinary code for violations of alcohol and/or other drug use policies occurring at or near the time of the commission of the domestic violence, dating violence, stalking, or sexual assault. PCON reserves the right to provide students with amnesty under additional circumstances in its sole discretion.

Investigation and Disciplinary Procedures

When the respondent is a student:

- 1. The Title IX Coordinator will make an initial assessment regarding whether the information received from the reporting individual is sufficient to institute an investigation under this policy and will notify the reporting individual of the their decision.
- 2. If the Title IX Coordinator determines that the information received from the reporting individual is sufficient to institute an investigation under this policy, they will notify the respondent and the reporting individual and will designate a trained investigator to carry out carry out a fair, impartial, timely and thorough investigation. If the Coordinator or other designated investigator has a conflict of interest, an alternate investigator shall be designated by the Title IX Coordinator.
- 3. The notice to the respondent by the Title IX Coordinator shall describe (i) the date, time, location and factual allegations concerning the alleged violation; (ii) the specific provision(s) of this policy alleged to have been violated; (iii) the possible sanctions for the violation; (iv) the right to participate in the investigation; (v) the deadline for responding in writing; and (vi) notice that the investigation may proceed without the participation of either party. The notice also shall also notify the respondent of the respondent's right to be accompanied by a support person or advisor of respondent's choice to be present throughout the process, including any meeting, conference, hearing or other procedural action; provided, however, that such person shall not be entitled to advocate, or be entitled to ask questions, raise objections, make statements, or otherwise communicate to the investigator or other persons involved in the investigation or decision-making other than counseling and communicating with the party who has chosen them as support person or advisor.
- 4. The reporting individual shall receive a copy of the notice to respondent and will also be advised of the reporting individual's right to: (i) participate in the investigation; and (ii) be accompanied by a support person or advisor of the reporting individual's choice to be present throughout the process, including any meeting, conference, hearing or other procedural action; provided, however, that such person shall not be entitled to advocate, or be entitled to ask questions, raise objections, make statements, or otherwise communicate to the investigator or other persons involved in the investigation or decision-making other

than counseling and communicating with the party who has chosen them as support person or advisor. The notice also shall state that the investigation may proceed without the participation of either party.

- 5. The time necessary to complete an investigation will vary depending upon the facts of a particular case. In most cases, best efforts will be made to have investigations completed within 60 calendar days of receipt of a report (not to include appeals, if any), except in unusual circumstances for legitimate reasons and with written notice to all parties.
- 6. The investigator shall:
 - a. Provide the reporting individual and the respondent with copies of this policy
 - b. Provide the reporting individual and the respondent with an opportunity to meet with the investigator on reasonably advance written or electronic notice
 - c. Provide the reporting individual and respondent with equal opportunities to identify witnesses and present evidence supporting their respective positions at these meetings
 - d. Provide the reporting individual and respondent with the same opportunities for a support person or advisor of their choice to be present throughout the process, including any meeting, conference, hearing or other procedural action; provided, however, that such person shall not be entitled to advocate, or be entitled to ask questions, raise objections, make statements, or otherwise communicate to the investigator or other persons involved in the investigation other than counseling and communicating with the party who has chosen them as support person or advisor
 - e. Provide the reporting individual and respondent with the opportunity to exclude from the investigator's consideration, when determining responsibility for a violation: (1) their own prior sexual history with persons other than the other party in the disciplinary process and (2) their own mental health diagnosis and/or treatment
- 7. The investigator may attempt, where the investigator deems appropriate and the reporting individual and the respondent agree, to resolve the report by exploring and suggesting possible informal solutions to the problem with all involved parties. In addition, either the reporting individual or the respondent may end at any time the informal process and proceed to the process set forth below, by notifying the investigator.
- 8. If informal resolution is not reached the investigator shall make written findings of fact and a determination, by a preponderance of the evidence (more likely than not), whether a violation of this policy has occurred and forward such written findings and a recommended determination and sanction to the Program Chair/Associate Dean of the College who will then schedule a mandatory hearing.
- 9. The Program Chair/Associate Dean of the College or designee (who has received Title IX Training) shall provide the investigator's written findings and recommended determination and sanctions to the reporting individual and the respondent and give both parties sufficient notice of the required hearing.
- 10. The Program Chair/Associate Dean of the College or designee shall give the parties notice of the time and place of such hearing, at which each party is permitted, but not required, to appear in person and make an oral statement; present evidence in writing; and present a statement or argument in writing. If a party appears in person, the party shall be subject to questioning by the Program Chair/Associate Dean or designee. The parties shall not be required to be present in the same room at the same time. The Program Chair/Associate Dean or designee may also review such other evidence or hear such testimony, including without limitation interviewing the investigator, as the Program Chair/Associate Dean or designee may deem appropriate. Neither the reporting individual nor the respondent may cross-examine each other or any witnesses produced or questioned by the Program Chair/Associate Dean or designee during the hearing. The Program Chair/Associate Dean or designee will permit either party to exclude from the Program Chair/Associate Dean or designee's consideration, when determining responsibility

for a violation: (1) their own prior sexual history with persons other than the other party in the disciplinary process and (2) their own mental health diagnosis and/or treatment.

- 11. The Program Chair/Associate Dean of the College or designee shall determine, by a preponderance of the evidence, whether a violation has occurred and shall notify the reporting individual and respondent of the determination. If it is determined that no violation has occurred, the Program Chair/Associate Dean or designee shall issue simultaneously to the reporting individual and the respondent, written notice of the Program Chair/Associate Dean's decision and the rationale for such decision and also shall notify the reporting individual of their right to appeal the determination, as set forth below.
- 12. If it is determined that a violation has occurred, the Program Chair/Associate Dean of the College or designee shall issue simultaneously to the reporting individual and the respondent, written notice of the Program Chair/Associate Dean's decision and the rationale for such decision and also shall notify the parties of each party's right to make an "impact statement" with regard to any sanction(s) or other remedial action to be imposed. Such "impact statement" may be provided orally or in writing, or waived, at the party's option. The Program Chair/Associate Dean or designee may consider past findings of domestic violence, dating violence, stalking, or sexual assault when determining sanction(s). The Program Chair/Associate Dean or designee shall then determine what sanction(s), if any, are appropriate and any other remedial action to be taken, and shall notify the parties in writing of such determination and the rationale for the determination. The Program Chair/Associate Dean or designee shall also notify the parties in writing of their right to appeal the determination and/or the sanction(s) or other remedial action to the Title IX Panel, as set forth below.

Appeals Process

An appeal may be filed in writing with the Title IX Coordinator by either the reporting individual or the respondent within five (5) business days of the date of the decision of the Program Chair/Associate Dean of the College or designee. The only grounds for appeal are as follows:

- 1. A procedural error occurred that significantly impacted the outcome of the investigation; or
- 2. New evidence unavailable during the original investigation may substantially impact the original finding or sanction. A summary of this new evidence and its potential impact must be included in the appeal; or
- 3. The sanctions imposed are substantially disproportionate to the severity of the violation

The appeal will be decided by a Title IX Panel of three persons appointed by a designee assigned by the Title IX Coordinator and will be decided on written submissions only, unless otherwise determined by the Panel. The Panel's decision on appeal is final, except in the case of suspension or expulsion of a student, in which case the student may appeal **the sanction only** to the Dean of the College in writing within five (5) business days of the date of the decision of the Program Chair/Associate Dean of the College or designee. Both the reporting individual and the respondent shall be permitted to make a written submission to the Dean of the College on appeal. The Dean of the College or designee shall decide the appeal in such manner as the Dean of the College or designee is final.

Sanctions and Remedial Measures

Sanctions shall be imposed pursuant to the decision of the investigator or other decision-maker in the individual case, as provided above. Sanctions for students may include, but are not limited, to the disciplinary penalties set forth below. Sanctions for employees shall be as determined in the individual case and may include dismissal from employment.

Student Respondent Sanctions

- a. Warning a notice in writing to the respondent that the respondent is violating or has violated institutional regulations
- b. Probation a written reprimand for violation of specified regulations. Probation is for a designated period of time and includes the probability of more severe disciplinary sanctions if the respondent is found to be violating any institutional regulations during the probationary period
- c. Loss of privileges denial of specified privileges for a designated period of time
- d. Restitution compensation for loss, damage or injury. This may take the form of appropriate service and/or monetary or material replacement
- e. Discretionary sanctions work assignments, service to the College or other related discretionary assignments
- f. Residence hall suspension separation of the respondent from the residence hall for a definite period of time, after which the respondent is eligible to return. Conditions for return may be specified
- g. Residence hall expulsion permanent separation of the respondent from the residence hall without the ability to return
- h. College suspension separation of the respondent from the College for a definite period of time, after which the respondent is eligible to return. Conditions for readmission may be specified in the sanction
- i. College expulsion permanent separation of the respondent from the College without the ability to return
- j. Mandated Counseling counseling or a counseling program can be recommended and/or required by the College
- k. Withholding Degree the College may withhold awarding a degree otherwise earned until the completion of the process set forth in this policy, including the completion of all sanctions imposed, if any

In addition to any sanctions upon the respondent, PCON will also take such other remedial measures as it may deem necessary or appropriate, including without limitation measures to prevent such future conduct or to correct personnel or academic decisions related to the prohibited conduct. Other measures may include, but are not limited to, continuing or commencing any of the above-listed interim measures, in addition to any interim measures that may have been provided prior to the conclusion of the investigation. Such measures may be requested by the reporting individual.

Sanctions will be in effect during any appeal; however, a request may be made to the Title IX Coordinator for special consideration in exigent circumstances. Convocation, graduation or clinical do not in and of themselves constitute exigent circumstances and students may not be able to participate in those activities during an appeal.

Prohibition of Retaliation

It is a violation of federal and state law and this policy to retaliate against any student or employee for exercising any rights under the Violence against Women Act, the Clery Act, Title IX, Title VII or state anti-discrimination laws, and/or this policy. This includes retaliating against a student or employee who reports in good faith an incident of sexual misconduct or sexual harassment or who furnishes information or participates in any manner in an investigation of such a report. Retaliation includes conduct directed at someone because he or she engaged in such protected activity that might deter a reasonable student or employee from making or supporting such a report.

Any employee or student who believes the employee or student has been subjected to retaliation as a result of a report or participation in the investigation of a report should report this to the Title IX Coordinator immediately.

Confidential Information in Related Proceedings

In any proceeding brought against PCON which seeks to vacate or modify a finding that a student engaged in sexual misconduct, PCON (1) will treat the name and identifying biographical information of any student as presumptively confidential, and (2) will not include this information in the pleadings and other papers in such proceeding absent a waiver or cause shown as determined by the court; and (3) will identify student witnesses only as numbered witnesses.

Notation on Student Transcripts

If a student is found responsible for a crime of violence, including but not limited to sexual violence, defined as crimes that meet the reporting requirements pursuant to the federal Clery Act, PCON is required by law to make a notation on the student's transcript that the student was "suspended after a finding of responsibility for a code of conduct violation" or "expelled after a finding of responsibility for a code of conduct violation." If a student withdraws from PCON while such conduct charges related to crimes of violence as described above are pending against the student, and declines to complete the disciplinary process, the College is required by law to make a notation on the student's transcript that the student "withdrew with conduct charges pending."

These transcript notations may be appealed in writing to the Program Chair/Associate Dean of the College or designee within five (5) business days of receipt of Title IX decision letter. Transcript notations for violence-related suspensions shall not be removed prior to one year after conclusion of the suspension. Transcript notations for violence-related expulsions shall never be removed from a student's transcript. If a finding of responsibility is vacated for any reason, however, the transcript notation shall be removed.

Violation of Law; Employee Personal Liability

Engaging in sexual misconduct or sexual harassment may also lead to civil and/or criminal action under New York State, or federal law. In addition, any employee who engages in sexual misconduct or sexual harassment in violation of this policy is acting outside the scope of his or her employment and may be personally liable for such actions and their consequences. In the event legal proceedings are commenced against such an employee, the College may decline to provide legal, financial, or other assistance.

Memoranda of Understanding

If on-campus resources are not available, PCON will, to the extent practicable, enter into memoranda of understanding or collaborative partnerships with existing community-based organizations, to refer students for assistance or make services available to students, including counseling, health, mental health, victim advocacy, and legal assistance, which may also include resources and services for a respondent.

PCON will also propose and endeavor to execute memoranda of understanding in partnership with:

- 1. A local rape crisis center, to provide victim advocacy and support services to victims of sexual violence; and
- 2. Local law enforcement authorities, regarding the protocols and procedures for referring allegations of sexual violence, relationship violence, and stalking, sharing information and conducting contemporaneous investigations regarding such allegations.

Sexual Offender Registry

The federal Campus Sex Crimes Prevention Act enacted in 2000 went into effect October 28, 2002. The law requires institutions of higher education to issue a statement advising the campus community where law

enforcement agency information provided by a State concerning registered sex offenders may be obtained. It also requires sex offenders required to register in a State to provide notice, as required under state law, of each institution of higher education in that State at which the person is employed, carries on a vocation, or is a student.

New York State Division of Criminal Justice Services is responsible for maintaining New York's Sex Offender Registry, which provides New Yorkers information about sex offenders living in their communities. There are three levels of sex offenders – Level 1 (low risk of re-offense), Level 2 (medium risk of re-offense) and Level 3 (high risk of re-offense); risk level is set by a judge after a court hearing. By law, only Level 2 and Level 3 sex offenders are listed on the public directory.

The public directory can be found online here – http://www.criminaljustice.ny.gov/nsor/

Campus Climate Surveys

PCON will conduct a biannual anonymous survey of students and employees to examine (1) the prevalence and incidence of sexual assault, relationship violence, and stalking, (2) the perceptions of the campus climate, (3) the general awareness and knowledge of students and employees about the provisions of the New York Enough is Enough law, and (4) student experience with and knowledge of reporting and college adjudicatory processes. Participation in the survey shall be voluntary but is encouraged.

The climate survey will be developed using standard and commonly recognized research methods, and includes questions covering the following topics:

- 1. The Title IX Coordinator's role
- 2. Campus policies and procedures addressing sexual assault
- 3. How and where to report domestic violence, dating violence, stalking or sexual assault as a victim, survivor or witness
- 4. The availability of resources on and off campus, such as counseling and health services
- 5. The prevalence of victimization and perpetration of domestic violence, dating violence, stalking, or sexual assault on and off campus during a set time period
- 6. Bystander attitudes and behavior
- 7. Whether reporting individuals disclosed to the institution and/or law enforcement, experiences with reporting and institution processes, and reasons why they did or did not report
- 8. General awareness of the difference, if any, between the institution's policies and the penal law; and
- 9. General awareness of the definition of affirmative consent

The College will take steps to ensure that answers to climate assessments remain anonymous and that no individual is identified. PCON will publish a summary of the climate assessment survey results on the College website, provided that no personally identifiable information or information which can reasonably lead a reader to identify an individual is shared.

Education and Training for Students and College Employees

It is the policy of PCON to offer multiple methods of educational programming to all students and employees each year to help prevent relationship violence, sexual assault (including stranger and known offender assaults), and stalking. The College has adopted a comprehensive student onboarding and ongoing education campaign to educate members of the PCON community about domestic violence, dating violence, stalking, and sexual assault, in compliance with applicable federal laws.

As part of this education and training campaign, The College requires all new students who enroll in PCON, to receive training, during the course of their onboarding, on the following topics, using a method and manner determined by the College:

- 1. Sexual and interpersonal violence including resources to any victims and survivors of such violence and administrative/conduct action regarding any accused individual within the jurisdiction of the institution
- 2. Relevant definitions including, but not limited to, the definitions of sexual assault, domestic violence, dating violence, stalking, confidentiality, privacy, and consent
- 3. The equal application of policies regarding of sexual orientation, gender identity, or gender expression
- 4. The role of the Title IX Coordinator, Crouse security, and other relevant offices that address domestic violence, dating violence, stalking, and sexual assault prevention and response
- 5. Awareness of violence, its impact on victims and survivors and their friends and family, and its long-term impact
- 6. Bystander intervention and the importance of taking action to prevent violence when one can safely do so
- 7. Risk assessment and reduction including, not limited to, steps that potential victims, perpetrators, and bystanders can take to lower the incidence of violations, which may contain information about the dangers of drug and alcohol use, including underage drinking and binge drinking, involuntary consumption of incapacitating drugs and the danger of mislabeled drugs and alcohol, the importance of communication with trusted friends and family whether on campus or off campus, and the availability of institution officials who can answer general or specific questions about risk reduction
- 8. Consequences and sanctions for individuals who commit these crimes and code of conduct violations

Annual Reporting

Reports of certain crimes occurring in specific geographic locations are included in PCON's annual security report (ASR), in an anonymous manner that identifies neither the specifics of the crime nor the identity of the reporting individual.

The College is obligated to issue timely warnings of crimes enumerated in the Clery Act occurring within relevant geography that represent a serious or continuing threat to students and employees, except in those circumstances where issuing such a warning may compromise current law enforcement efforts or when the warning itself could potentially identify the reporting individual. A reporting individual shall not be identified in a timely warning.

FERPA allows PCON to share information with parents when (i.) there is a health or safety emergency, or (ii.) when the student is a dependent on either parent's prior year federal income tax return. Generally, however, the College will not share information about a report of domestic violence, dating violence, stalking, or sexual assault with parents without the permission of the reporting individual.

Policy Compliance

Any person with a concern about PCON's handling of a particular sexual misconduct matter should contact the Title IX Coordinator or if necessary, the U.S. Department of Education, Office of Civil Rights (OCR) which serves as the federal agency responsible for ensuring compliance with Title IX matters.

U.S. Department of Education | Office of Civil Rights 400 Maryland Avenue, SW Washington, DC 20202-1100 Phone | 1-800-421-3481